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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/924,185	08/02/2001	Michael Holtzman	M-10246 US	2657
36257	7590	05/30/2006	EXAMINER	
PARSONS HSUE & DE RUNTZ LLP			CLEARY, THOMAS J	
595 MARKET STREET			ART UNIT	
SUITE 1900			PAPER NUMBER	
SAN FRANCISCO, CA 94105			2111	

DATE MAILED: 05/30/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/924,185

Applicant(s)

HOLTZMAN ET AL.

Examiner

Thomas J. Cleary

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 April 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 3-25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 3-25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>20060406</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 3, 10, 15, and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over European Patent Application Publication Number 0 292 248 to Steiner et al. ("Steiner"), US Patent Number 5,544,356 to Robinson et al. ("Robinson"), and knowledge commonly known in the art, as evidenced by US Patent Number 6,088,761 to Aybay ("Aybay"), US Patent Number 6,191,663 to Hannah ("Hannah"), and US Patent Number 4,882,554 to Akaba et al. ("Akaba").

3. In reference to Claims 3 and 10, Steiner discloses an add-on card (See Figure 1 Number 3) for detachably coupling to a processing system (See Figure 1 Number 1) comprising: an interface for communicating with said processing system while said add-on card is coupled with said processing system (See Figure 1 Number 5); a program storage memory storing at least one operating sequence (See Figure 1 Number 7); a mass storage memory including a portion for storing user data (See Figure 1 Number 9)

and a program memory portion storing at least one additional operating sequence (See Figure 1 Number 8); a processing unit coupled to said interface, said program storage memory, and said mass storage memory (See Figure 1 Number 4), whereby the processing unit can operate on user data transferred between the mass storage memory and the processing system through the interface according to said at least one additional operating sequence (See Column 4 Lines 3-8), and a mass storage interface by which the mass storage memory is connected to a bus (See Figure 1). Steiner further discloses a bus system interconnecting the components of the card, but does not disclose a single bus to which the processing unit, the interface, and the program storage memory are connected. Official Notice is taken that it is well known in the art to interconnect elements of a computing system, such as processors, memories, and interfaces, using a single bus (a multidrop bus), as evidenced by Aybay (See Figure 1), Hannah (See Figures 4 and 13 and Column 2 Lines 8-18), and Akaba (See Figure 1 and Column 1 Lines 16-36). Steiner further does not explicitly disclose the mass storage interface is a non-linear interface, as in Claim 3, or that the mass storage memory is a flash memory, as in Claim 10. However, Steiner does disclose that the mass storage memory may be E²PROM or any other appropriate non-volatile Read/Write memory (See Column 2 Lines 21-23 and 51-54). Robinson teaches the use of a flash memory, which is a non-linearly accessed memory, in place of an E²PROM as a mass memory device for storing multiple different operating sequences and user data (See Column 6 Lines 7-14).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to construct the device of Steiner using a multidrop bus as the interconnect between elements and using a flash memory as the mass memory, resulting in the invention of Claim 3, in order to reduce the cost and complexity of the interconnect (See Column 2 Lines 8-18 of Hannah), to reduce the cost and complexity of the processor, since fewer I/O pins are required, and because flash memories achieve much higher densities than E²PROMs (See Column 6 Lines 32-40 of Robinson).

4. In reference to Claim 11, Steiner and Robinson teach the limitations as applied to Claim 3 above. Steiner further discloses that the mass storage memory further includes a portion storing system data, whereby the processing unit can operate on data transferred between the card and the unit using the system data (See Figure 1 Number 7).

5. Claims 15 and 25 recite limitations that are substantially equivalent to those of Claims 3 and 10 and are rejected under similar reasoning.

6. In reference to Claim 16, Steiner and Robinson teach the limitations as applied to Claim 15 above. Steiner further discloses that the mass storage memory includes a program memory portion storing at least one additional operating sequence (See Figure 1 Number 8).

7. Claims 4, 8, 17, 19, and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steiner, Robinson, and knowledge commonly known in the art as applied to Claims 3 and 15 above, and further in view of US Patent Number 6,409,089 to Eskicioglu ("Eskicioglu").

8. In reference to Claims 4 and 8, Steiner and Robinson teach the limitations as applied to Claim 3 above. Steiner and Robinson do not teach that the data transferred between the card and the processing system is continuous media, as in Claim 4, and that the at least one additional operating sequence includes a data encryption/decryption routine, as in Claim 8. Steiner does teach that the device is a smart card (See Column 1 Lines 12-26). Eskicioglu teaches a smart card that receives an audio/video stream, which is continuous media, and performing encryption and decryption on the received audio/video stream (See Figure 2 and Column 1 Lines 51-63).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to construct the device of Eskicioglu using the smart card of Steiner as modified by Robinson and knowledge commonly known in the art, resulting in the inventions of Claims 4 and 8, in order to allow the application programs to be downloaded, debugged, and tested before permanently fixing it to the smart card (See Column 1 Lines 27-30 and Column 4 Lines 12-21 of Steiner), which thus reduces development and production costs.

9. Claims 17 and 23 recite limitations that are substantially equivalent to those of Claims 4 and 8 and are rejected under similar reasoning.

10. In reference to Claim 19, Steiner, Robinson, and Eskicioglu teach the limitations as applied to Claim 17 above. Steiner further discloses that a portion of the mass storage memory contains data prerecorded by the card supplier (See Column 4 Lines 1-21).

11. Claims 5 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steiner, Robinson, knowledge commonly known in the art, and Eskicioglu as applied to Claims 4 and 17 above, and further in view of US Patent Number 5,418,752 to Harari et al. ("Harari").

12. In reference to Claim 5, Steiner, Robinson, and Eskicioglu teach the limitations as applied to Claim 4 above. Steiner, Robinson, and Eskicioglu do not teach a data cache memory connected to the processor and the mass storage memory for buffering the continuous media transferred between the card and the processing system. Harari teaches the use of a data cache memory for buffering data to be transferred to a flash memory (See Abstract and Column 2 Lines 44-56).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to construct the device of Eskicioglu, as modified by Steiner,

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Robinson, and knowledge commonly known in the art, with the data cache buffer of Harari, resulting in the invention of Claim 5, in order to minimize the number of writes to the flash memory, and thus retard its aging by subjecting it to fewer stress inducing write/erase cycles (See Column 2 Lines 44-48 of Harari); and to increase the write throughput (See Column 2 Lines 54-56 of Harari).

13. In reference to Claim 18, Steiner, Robinson, and Eskicioglu teach the limitations as applied to Claim 4 above. Steiner, Robinson, and Eskicioglu do not teach a data cache memory connected to the processor and the mass storage memory for buffering the continuous media transferred between the card and the processing system. Harari teaches the use of a data cache memory for buffering data to be transferred to a flash memory (See Abstract and Column 2 Lines 44-56). Robinson teaches the use of a flash memory, which is a non-linearly accessed memory, and thus the transferred data is stored non-linearly (See Column 6 Lines 7-14).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to construct the device of Eskicioglu, as modified by Steiner, Robinson, and knowledge commonly known in the art, with the data cache buffer of Harari, resulting in the invention of Claim 5, in order to minimize the number of writes to the flash memory, and thus retard its aging by subjecting it to fewer stress inducing write/erase cycles (See Column 2 Lines 44-48 of Harari); and to increase the write throughput (See Column 2 Lines 54-56 of Harari).

14. Claims 6 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steiner, Robinson, and knowledge commonly known in the art as applied to Claim 3 and above, and further in view of US Patent Number 6,266,671 to Niimura ("Niimura").

15. In reference to Claims 6 and 7, Steiner and Robinson teach the limitations as applied to Claim 3 above. Steiner and Robinson do not teach that said at least one operation sequence includes a decompression program, as in Claim 6, or a compression program, as in Claim 7. Niimura teaches a card device having a memory which is capable of compressing data received from a host device for storage in a memory and decompressing data stored in the memory for transmission to the host device (See Figure 1 and Column 2 Lines 49-65).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to construct the device of Steiner, as modified by Robinson and knowledge commonly known in the art, with the data compression and decompression ability of Niimura, resulting in the invention of Claims 6 and 7, in order to increase the storage capacity of the memory and fit as much data as possible into the available storage space (See Column 1 Lines 25-28 of Niimura).

16. Claims 9 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steiner, Robinson, and knowledge commonly known in the art as applied to Claims 3 and 15 above, and further in view of US Patent Number 5,987,155 to Dunn et al. ("Dunn").

17. In reference to Claim 9, Steiner and Robinson teach the limitations as applied to Claim 3 above. Steiner and Robinson do not teach that at least one additional operating sequence includes a voice-recognition program. Dunn teaches a smart card which receives voice recognition information from the host for processing (See Column 6 Lines 8-40).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to construct the voice-recognition smart card of Dunn using the smart card of Steiner as modified by Robinson and knowledge commonly known in the art, resulting in the invention of Claim 9, in order to allow the application programs to be downloaded, debugged, and tested before permanently fixing it to the smart card (See Column 1 Lines 27-30 and Column 4 Lines 12-21 of Steiner), which thus reduces development and production costs.

18. Claim 24 recites limitations that are substantially equivalent to those of Claims 9 and is rejected under similar reasoning.

19. Claims 20 and 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steiner, Robinson, knowledge commonly known in the art, and Eskicioglu, as applied to Claim 17 and above, and further in view of Niimura.

20. In reference to Claims 20 and 21, Steiner, Robinson, and Eskicioglu teach the limitations as applied to Claim 17 above. Steiner, Robinson, and Eskicioglu do not teach that said at least one operation sequence includes a decompression program, as in Claim 20, or a compression program, as in Claim 21. Niimura teaches a card device having a memory which is capable of compressing data received from a host device for storage in a memory and decompressing data stored in the memory for transmission to the host device (See Figure 1 and Column 2 Lines 49-65).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to construct the device of Eskicioglu, as modified by Steiner, Robinson, and knowledge commonly known in the art, with the data compression and decompression ability of Niimura, resulting in the invention of Claims 20 and 21, in order to increase the storage capacity of the memory and fit as much data as possible into the available storage space (See Column 1 Lines 25-28 of Niimura).

21. Claim 22 is rejected under 35 U.S.C. 103(a) as being unpatentable over Steiner, Robinson, and knowledge commonly known in the art as applied to Claim 15 above, and further in view of US Patent Number 5,995,018 to Hane et al. ("Hane").

22. In reference to Claim 22, Steiner and Robinson teach the limitations as applied to Claim 15 above. Steiner and Robinson do not teach that the data transferred between the interface and the mass storage memory is a navigation database. Hane teaches a

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smart card for receiving, storing, and transmitting a navigation database (See Column 8 Lines 35-44).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to construct the smart card having a navigation database of Hane using the smart card of Steiner as modified by Robinson and knowledge commonly known in the art, resulting in the invention of Claim 22, in order to allow the application programs to be downloaded, debugged, and tested before permanently fixing it to the smart card (See Column 1 Lines 27-30 and Column 4 Lines 12-21 of Steiner), which thus reduces development and production costs.

Specification

23. The disclosure is objected to because it contains an embedded hyperlink and/or other form of browser-executable code (See Page 3 Paragraph 9). Applicant is required to delete the embedded hyperlink and/or other form of browser-executable code. See MPEP § 608.01.

Response to Arguments

24. Applicant's arguments with respect to Claims 3-11 and 15-25 have been considered but are moot in view of the new ground(s) of rejection.

25. Applicant has referenced Paragraph 15 of the Specification as providing a definition of a mass storage memory (See Page 7 Paragraph 2). In response, the Examiner will interpret a mass storage memory as a memory in which the contents “can not be addressed randomly by the controller”.

26. Applicant has referenced Paragraph 15 of the Specification as providing a definition of a non-linear interface (See Page 9 Paragraph 2). In response, the Examiner will interpret a non-linear interface as a “‘non random’ access interface”.

27. Applicant has argued that the claim limitation “a card bus” indicates that the elements are connected to the same single bus (See Page 9 Paragraph 3). In response, the Examiner will interpret “a card bus” as being one single bus that is not comprised of sub-buses.

28. Applicant has argued that flash memory need not be mass storage memory (See Page 9 Paragraph 4). In response, the Examiner notes that flash memory cannot be addressed randomly by the controller, as it must be erased at the block or chip level every time it is rewritten (See Page 17 Paragraph 1), and thus is consistent with the definition of a mass storage memory as provided by Applicant.

29. Applicant has argued that flash memory does not inherently have a non-linear interface (See Page 11 Paragraph 4). In response, the Examiner notes that a flash

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memory cannot be randomly accessed, and thus is consistent with the definition of non-linear as provided by Applicant.

30. Applicant has referenced Paragraphs 36 and 43 of the Specification as providing a definition of a continuous media (See Page 12 Paragraph 4). In response, the Examiner will interpret continuous media as "data which is presented to the user in a continuous manner".

Conclusion


31. The following prior art made of record and not relied upon is considered pertinent to Applicant's disclosure: US Patent Number 5,969,333 to Barthelemy et al.

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Thomas J. Cleary whose telephone number is 571-272-3624. The Examiner can normally be reached on Monday-Thursday (7-3), Alt. Fridays (7-2).

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Mark Rinehart can be reached on 571-272-3632. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

TJC



Thomas J. Cleary
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